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PCT

MMC.P-001  
Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gariepy et al.

Serial No.: 09/601,644

Filed: August 4, 2000

Title: Cytotoxic Heteromeric Protein Combinatorial Libraries

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Responsive to the Notification of Defective Response mailed November 27, 2002.

Applicants enclose a sequence listing diskette containing the corrected sequence listing for the above-captioned application in computer readable format and a paper copy of the sequence listing. Please insert the paper copy in the application at the appropriate location.

The undersigned certifies that the contents of this diskette is the same as the enclosed paper copy and that no new matter has been added.

No fees are believed to be due with submission. However, should any fees be required, the Commissioner is authorized to charge these fees to Deposit Account No. 15-0610.

Respectfully submitted,

Marina Larson

Marina T. Larson, Ph.D.  
Attorney for Applicants  
PTO Reg. No. 32,038

+1 970 468 6600 x 152

EL556092528US



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/601,644	GARIEPY ETAL	MMC.P-001
		INTERNATIONAL APPLICATION NO.
		PCT/CA98/01137
21121 OPPEDAHL AND LARSON, LLP P O BOX 5068 DILLON, CO 80435-5068		I.A. FILING DATE      PRIORITY DATE
		12/08/1998
CONFIRMATION NO. 7797 371 FORMALITIES LETTER		
 *OC00000009164982*		

Date Mailed: 11/27/2002

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Small Entity Statement

Applicant's response filed 12/11/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 08/21/2000 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute

**COPY**

computer readable form must be submitted as required by 37 CFR 1.825(d).

■ APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

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Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/601,644	PCT/CA98/01137	MMC.P-001

FORM PCT/DO/EO/916 (371 Formalities Notice)